## Cognitive Neuroscience of Human Memory

Lila Davachi New York University

## Why study memory?

## What is Memory?

"Memory proper...is the knowledge of a former state of mind after it has already once dropped from consciousness; or rather it is the knowledge of an event, or fact, of which meantime we have not been thinking, with the additional consciousness that we have thought or experienced it before."

> William James (1892) Principles of Psychology

## Remember the following words

#### THREAD

## PIN

## EYE

## SEWING

#### SHARP

## POINT

## PRICK

## THIMBLE

## HAYSTACK

## THORN

## HURT

## INJECTION

## SYRINGE

## CLOTH

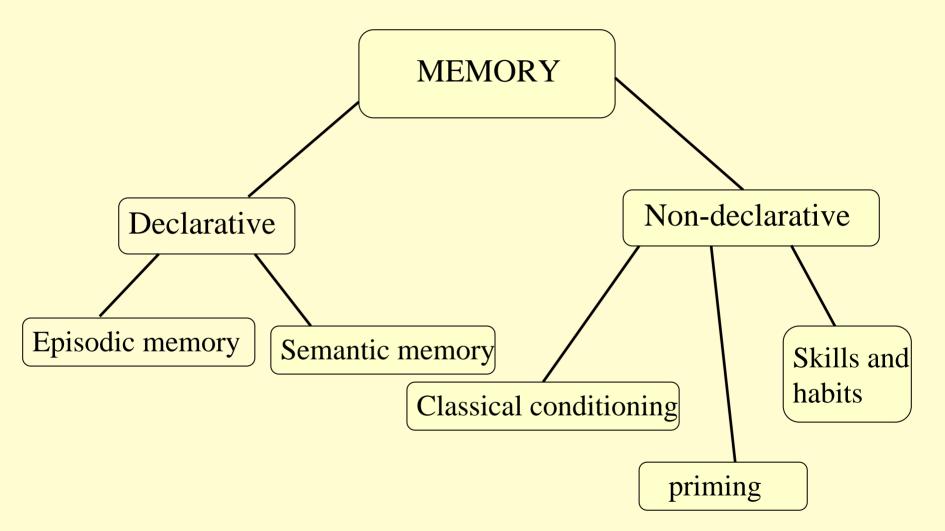
## KNITTING

## How do we define memory?

Types of memory:

- Recollection of a specific event episodic
- Knowledge of facts semantic
- Holding information "in mind" working
- Feeling that something is familiar episodic
- "Remembering" to do something prospective
- Improvement with experience (learning) priming
- Habits, skills, conditioning
- These are each associated with different types of brain processes

## Long-term Memory Systems



# My General Approach

- Memory is complicated
- To understand memory, we need to look at it from several angles:
  - Functional
  - Neural
- Research Methods:
  - Behavioral studies
  - Lesion studies
  - Brain imaging studies

# Do you remember learning the following words?

## NURSE

## PIN

## FISH

#### THREAD

## BIKE

## PIE

## NEEDLE

## CLOTH

Test List NURSE PIN FISH THREAD BIKE PIE NEEDLE CLOTH Study List **THREAD** PIN EYE **SEWING SHARP** POINT PRICK **THIMBLE** HAYSTACK **THORN** HURT **INJECTION SYRINGE CLOTH KNITTING** 

## Memory is not faithful!

# History of Memory Research

- Ebbinghaus
- Pavlov
- Skinner
- Thorndike
- Bartlett

## Memory: The Basic Puzzles

What are the cognitive and neural architectures of memory?

What are the memory systems?

What are the contents of memory?

What are the cognitive operations underlying memory?

What are the neural mechanisms of memory?

## Overview

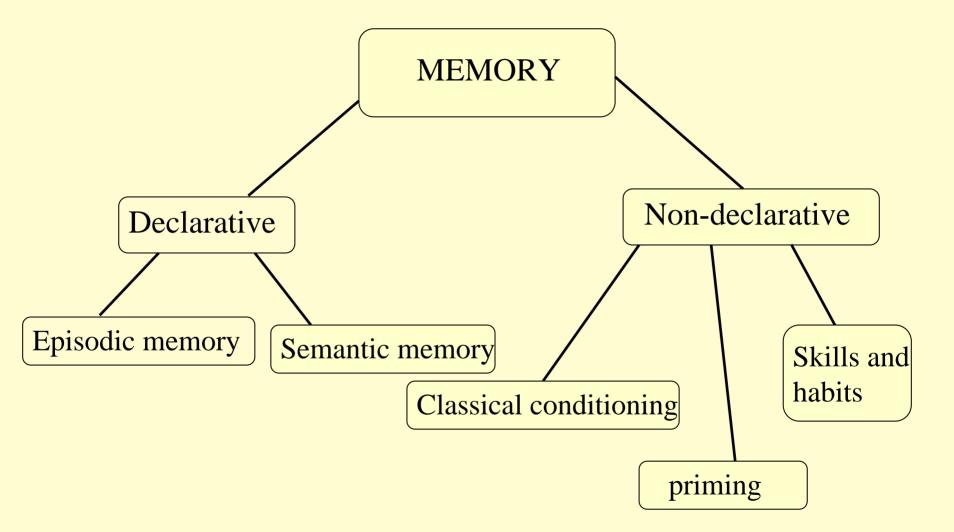
**Cognitive Psychology** 

Neuropsychology

Animal Models

**Functional Imaging** 

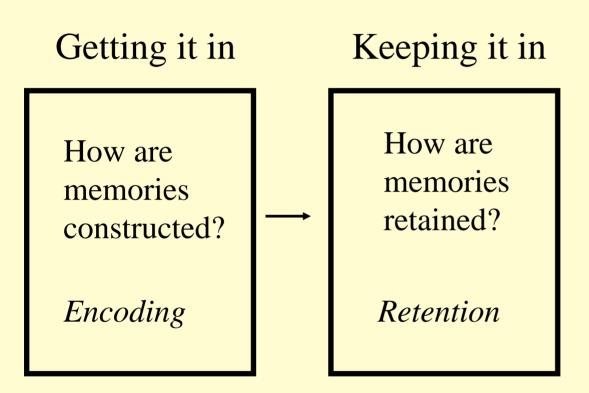
#### Long-term Memory Systems

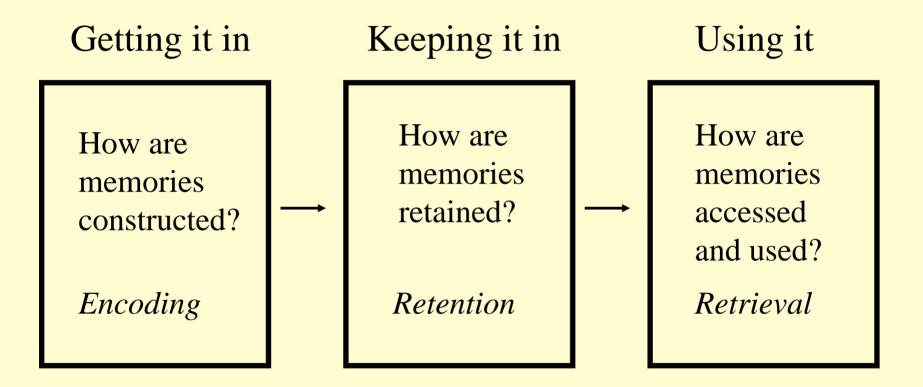


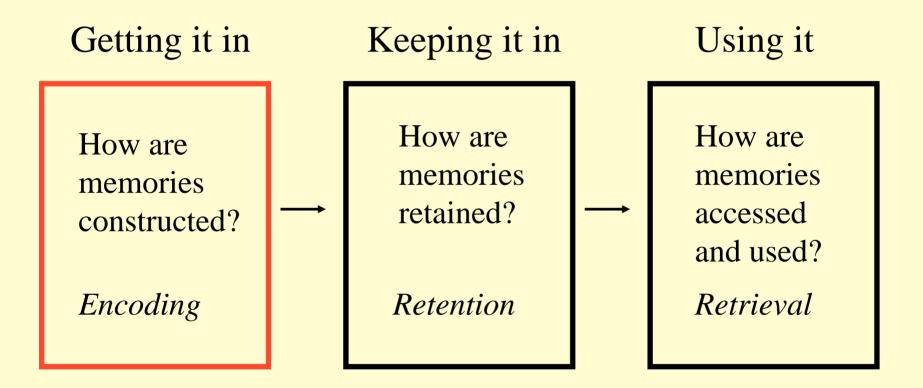
#### Getting it in

How are memories constructed?

Encoding







## **Episodic Encoding**

• encoding: the processes that initially transform an experience into a durable memory trace

consists of a structural change in the nervous system
unit-to-unit changes in synaptic strength

• episodic encoding: processes that yield a durable memory trace such that an event can be subsequently consciously retrieved

Can be understood at the neural and at the psychological levels

### Principles of Episodic Encoding

Attention

#### Levels of Processing (LoP)

#### Transfer Appropriate Processing (TAP)

Relation of Episodic & Semantic Memory

Effects of Prior Knowledge

**Distribution of Practice** 

## Principles of Episodic Encoding

#### Attention

#### Levels of Processing (LoP)

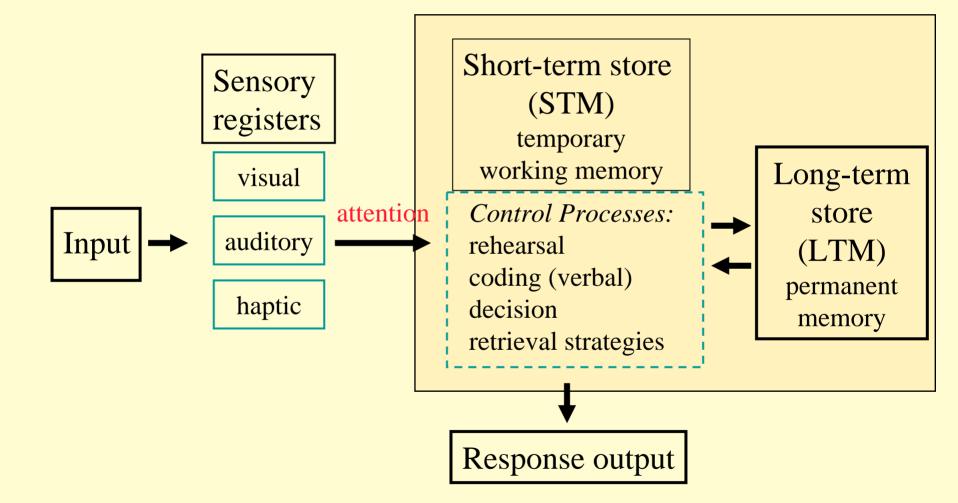
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**Distribution of Practice** 

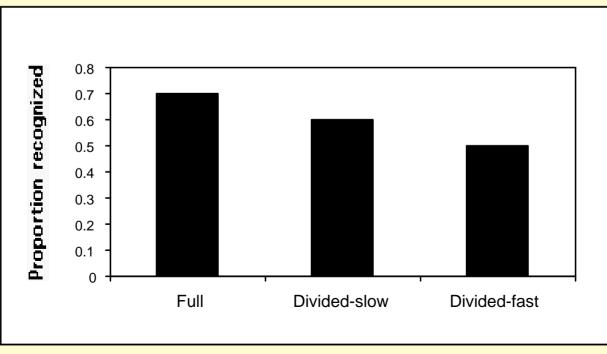
#### Modal Two-Store Model of Memory Atkinson & Shiffrin (1968)



## Attention & Encoding

Attending to an item is important for memory formation Full-attention: intentional learning of visually presented words Divided-attention: visual word learning plus a secondary task

- monitor auditory tones, indicating whether the current tone has a "high", "medium", or "low" pitch
- rate of tone presentation was "slow" or "fast"



## Attention, Encoding, & The Brain

Encoding: intentional learning of 15 paired-associates e.g., *Poet–Browning* 

Distractor-Tasks:

- Easy: move joystick around 4 boxes, order is predictable 1,2,3,4...
- Difficult: move joystick around 4 boxes, order is random 1,4,3,2,4,2,1,3...

Test: cued\_recall *Poet\_*?

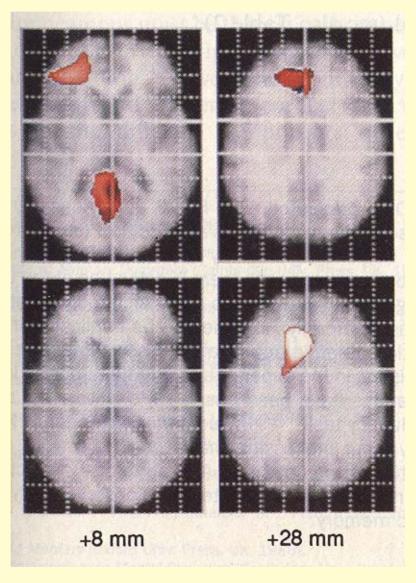
TABLE 1 Description of tasks Experiment 1 (acquisition) Paired-associate Memory Distractor				
1	Encoding†	83±4%	Easy	
II (control)	Passive listening ‡	an i <del>, </del> c'h si	Easy	
III	Encoding <sup>†</sup>	$68 \pm 4\%$ §	Difficult	
IV (control)	Passive listening‡		Difficult	

(Shallice et al., 1994)

#### Attention, Encoding, & the Brain

Easy distraction – Passive listening

Difficult distraction – Passive listening



(Shallice et al., 1994)

## Principles of Episodic Encoding

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#### Levels of Processing (Craik & Lockhart, 1972; Craik & Tulving, 1975)

*Encoding* = *incidental by-product of the active perceptual and cognitive processing carried out during an event* 

Stimuli / Events can be processed at different "levels"

Shallow (sensory aspects)

structural: perceptual features of the presented stimulus phonological/lexical: convert stimuli to speech-based codes semantic: access and evaluate the meaning of the text

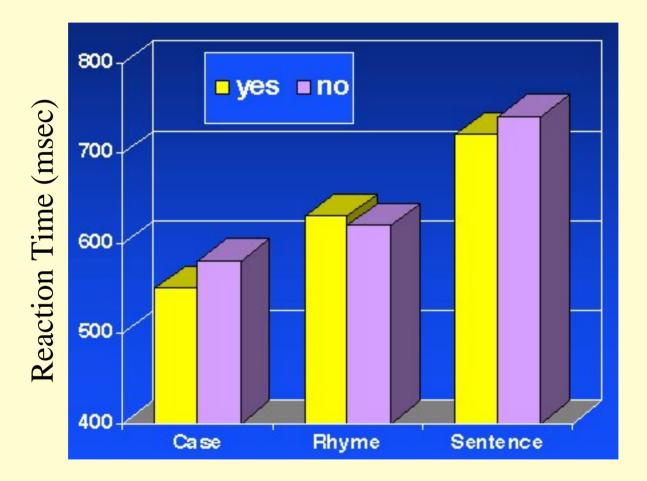
Deep (derived aspects)

"Deeper" processing = more effective encoding

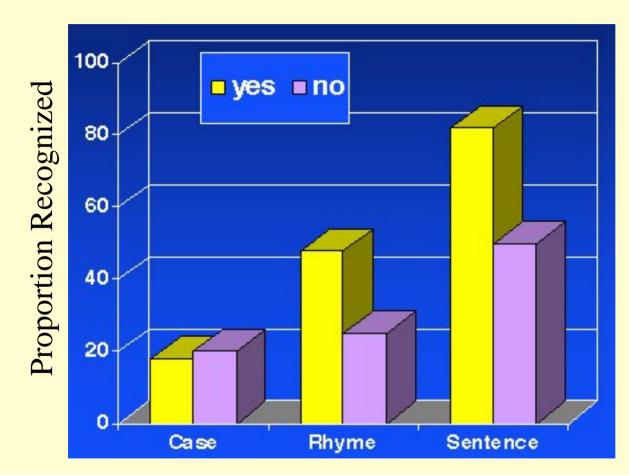
## Levels of Processing Paradigm

Structural Is the word in capital letters?	Yes TABLE	<u>No</u> table
Phonological Does the word rhyme with WEIGHT?	crate	MARKET
Semantic Would the word fit the sentence: "He met a in the street?"	FRIEND	cloud

#### Levels of Processing: Time to Process Item



#### Levels of Processing: Subsequent Memory

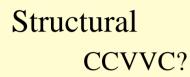


Perhaps indicates "deeper" is better

#### BUT...

"deeper" is processed longer, could just be processing time

## Levels of Processing Paradigm

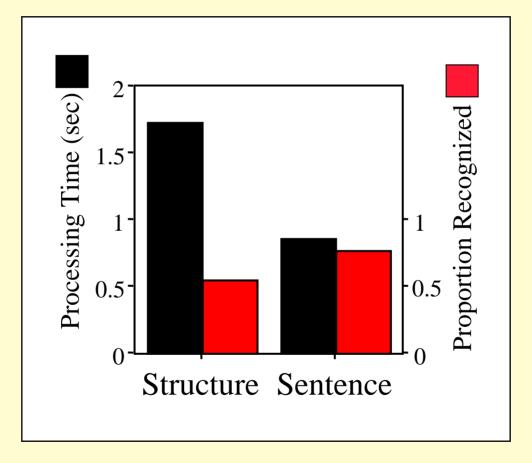


<u>Yes</u> <u>No</u> BRAIN UNCLE

#### Semantic

Would the word fit the sentence:CHILDCLOCK"The man threw the ball to the \_\_\_\_?"?"

## Levels of Processing: Subsequent Memory



# Levels of Processing

- Useful, consistent results
- However, slightly circular reasoning...

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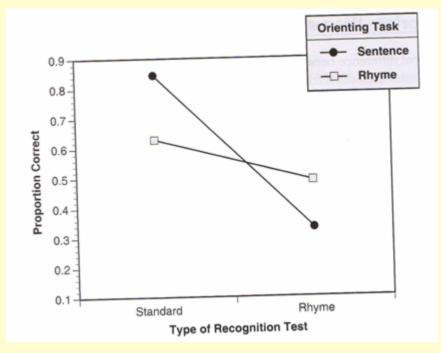
**Distribution of Practice** 

# **Transfer Appropriate Processing**

TAP: A process leads to better memory NOT because it is deeper, but because it is *appropriate* for the kind of test that will be conducted.

How different from LOP?

- TAP includes a role for retrieval..



But semantic encoding followed by semantic cue BEST, thus semantic encoding may lead to better cue specificity.. (Watkins (1979) cue overload principle..)

# **Encoding Specificity**

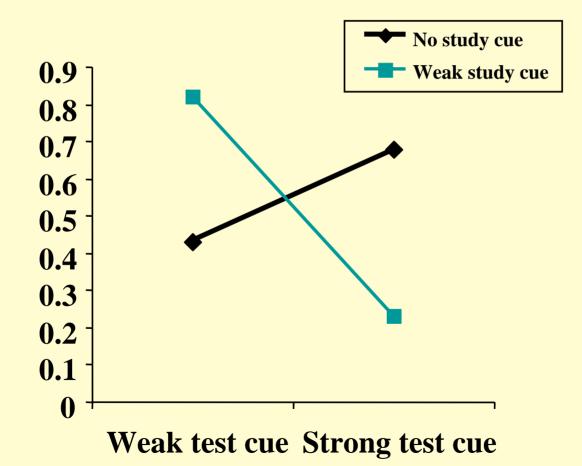
- Tulving (1972)
- The experience of recollection relies on the interaction between a cue and a memory trace
  - "Ecphory"
- You can't say that one type of encoding is better than another because you need to consider the interaction between the <u>retrieval</u> cue and the trace that was produced at encoding.
- Example: "weak" vs. "strong" cues
  - Weak: fruit--> flower
  - Strong: bloom-->flower

# **Encoding Specificity Experiment**

- 2 study conditions:
  - Weak cue: fruit--> <u>bloom</u>
  - No cue: <u>bloom</u>
- 2 cued-recall conditions:
  - Weak cue: fruit: \_\_\_\_\_
  - Strong cue: flower: \_\_\_\_\_
- Are strongly associated words always better retrieval cues?

# **Encoding Specificity Principle**

Memory depends on an interaction between what is encoding processing and conditions at retrieval.



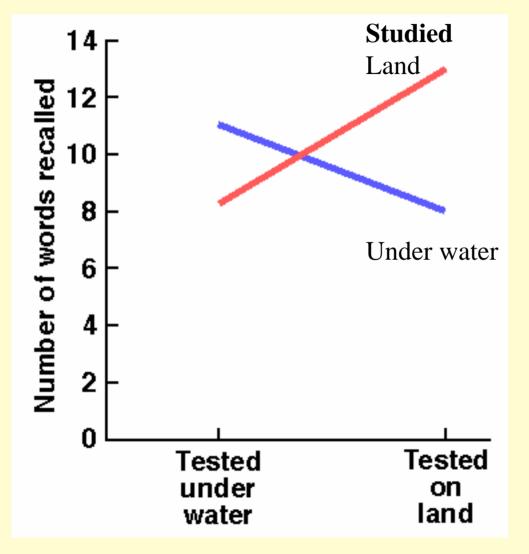
## Context-dependent memory

- Information is remembered best when retrieval and encoding contexts match
- Example: Smith (1979) had subjects learn 80 words in a basement. Surprise recall test either
  - in same room
  - in soundproof booth on 5th floor
  - in soundproof booth on 5th floor, with instructions to visualize basement room before learning items.

## Context-dependent memory

- Information is remembered best when retrieval and encoding contexts match
- Example: Smith (1979) had subjects learn 80 words in a basement. Surprise recall test either
  - in same room. Mean recall = 18 words
  - in soundproof booth on 5th floor = 12 words
  - in soundproof booth on 5th floor, with instructions to visualize basement room before learning items = 17.2 words

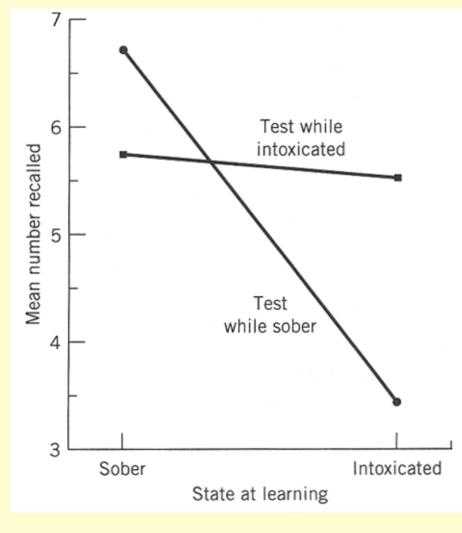
## Context–Dependent Memory



External context contains stimuli that become associated with the learned material and that are useful cues for eliciting retrieval of these memories

(Godden & Baddeley, 1975)

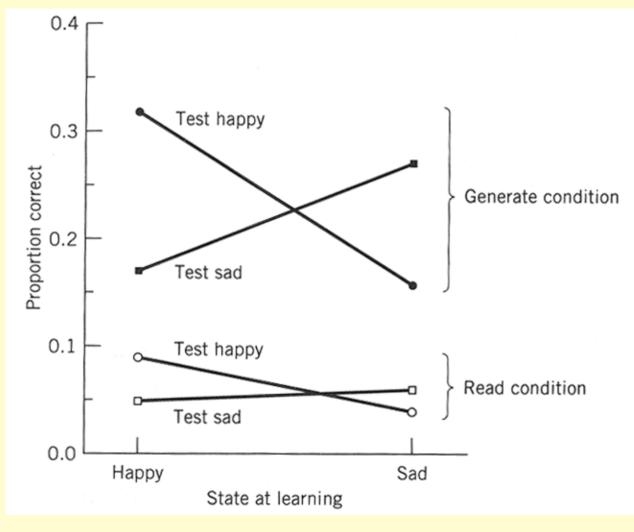
## State–Dependent Memory



Internal context or states also are associated with learned information and serve as useful cues for eliciting retrieval of these memories

(Goodwin et al., 1969)

#### Mood–Dependent Memory



(Eich & Metcalfe, 1989)

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## Organization and Encoding

• Deese (1959):

. . .

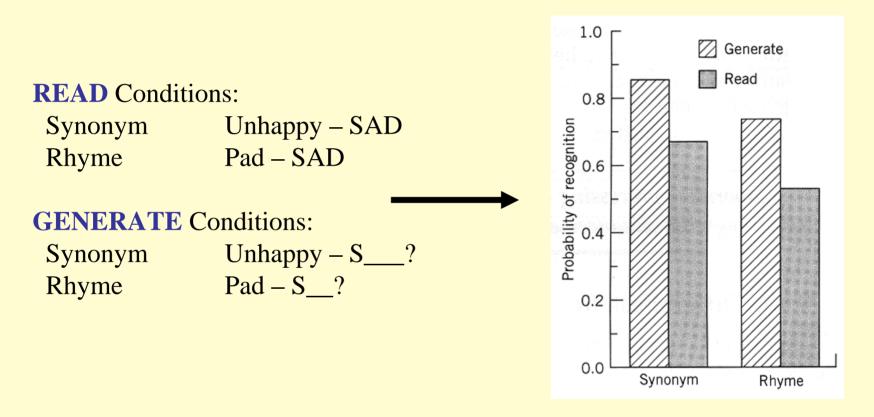
- 3 Lists of words: highly related, less related, unrelated

Relatedness facilitates recall

High Related: 7.35Unrelated: 5.5MothBookInsectTulipWingGovernmentBirdSofaFlyEarlyYellowVelvet

. . .

#### Encoding is More Effective When Information is Generated Rather than Presented: Generation Effect

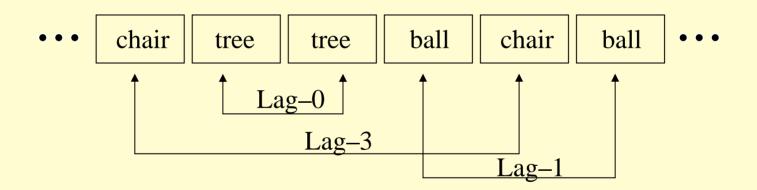


- greater elaboration/processing of meaning or phonology
- greater engagement of **retrieval processes** during study that are likely to be engaged at test

## **Distribution of Practice**

Not all encoding events are created equal

"with any considerable number of repetitions a suitable distribution of them over a space of time is decidedly more advantageous than the massing of them at a single time" (Ebbinghaus, 1885)



Spacing / Lag effect

• greater lags between practice/study trials yield better memory

## **Understanding Distributed Practice**

Deficient processing: during massed/short lag trials, the second occurrence of an item is not processed fully

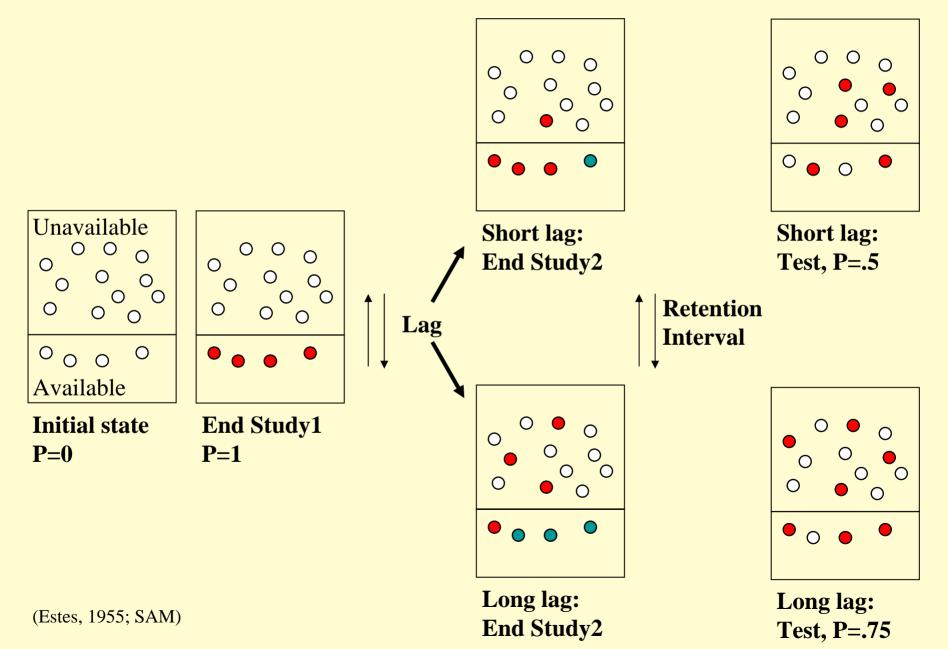
• less attention to items just processed

Encoding variability: longer lags result in more variable encoding

- variable encoding yields a richer memory trace permitting access from multiple routes
- variability may derive from random stimulus fluctuations across time (Estes' stimulus sampling theory)

Consolidation – distributing practice may enhance subsequent memory because initial trace has had time to consolidate

#### Stimulus Sampling Theory & Lag Effects



#### Von Restorff Effect

Fox Lion Cow Giraffe Motorcycle Sheep Deer Mouse Horse

## Von Restorff Effect

Fox

Lion

Cow

Giraffe

Motorcycle

Sheep

Deer

Mouse

Horse

- Derived from an experiment by von Restorff (1933)
- In these experiment, a list of items is presented and one item deviates in some way from the others

• <u>"Isolate"</u> item is typically remembered better than other items

• This suggests that distinctiveness also influences memory encoding

## Focus on similarities or differences?

- Craik and Lockhart's LOP view suggests that deep encoding produces a more distinctive record of each event, thereby leaving a stronger memory
- Effects of organization on memory suggest that focusing on similarities among multiple events also facilitate memory
- Hunt & Einstein (1981):
  - <u>Relational</u> and <u>item-specific</u> information help boost memory

# Hunt & Einstein (1981)

- List of words from 6 categories
- 3 tasks:
  - 1. <u>Relational:</u> sort words into each category
  - 2. <u>Item-specific:</u> rate each word for pleasantness
  - 3. Combined: Do both
- Results:
  - Recall much better in combined than in either relational or itemspecific encoding conditions
  - Clustering greater in relational and combined than item-specific conditions
- These findings suggest that relational and item-specific processing <u>both</u> enhance encoding, but in different ways

# **Conclusions**

- LOP highlights role of type of encoding, not merely time spent encoding, in successful memory formation

- However, slightly circular reasoning..
- No role for retrieval..

-TAP highlight role of the match between encoding and retrieval

- However, semantic encoding still wins out...
- What gets encoded?
  - Distinction between the nominal stimulus and the functional stimulus (*Carmichael et al, 1932*)

# Overview

**Cognitive Psychology** 

Neuropsychology

**Animal Models** 

**Functional Imaging** 

#### How does the brain support memory?

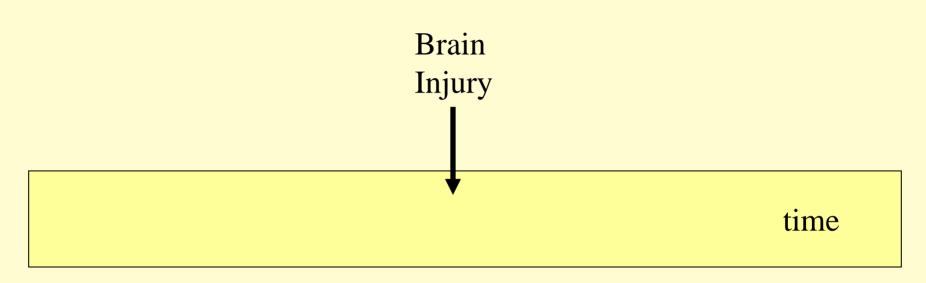
## Amnesia

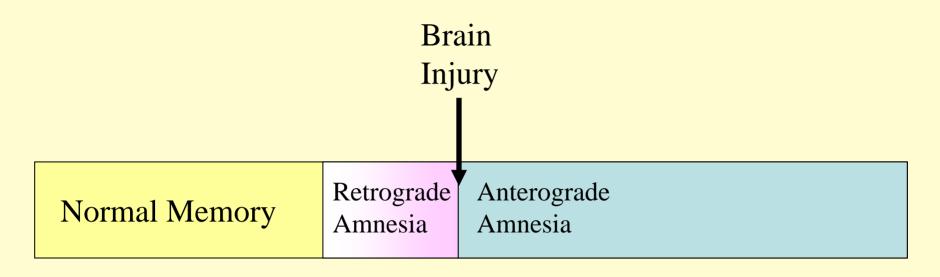
- What is it? (retrograde, anterograde)
- Characteristic patterns of spared and impaired performance in amnesia.
- Role of MTL regions in long-term memory formation
- Theories of long-term memory consolidation

# Definition of Global Amnesia

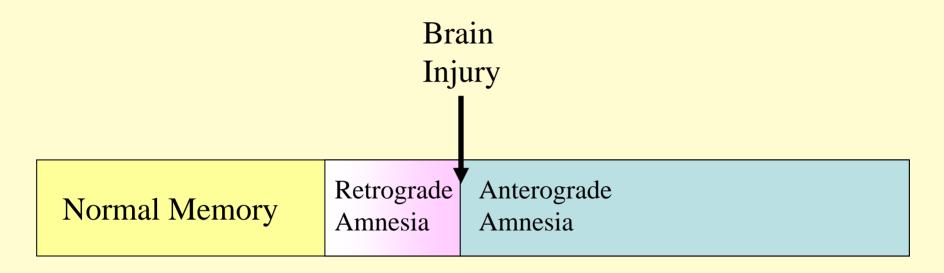
- Profound forgetfulness
  - Regardless of modality of information (names, faces, places, odors, music are all forgotten)

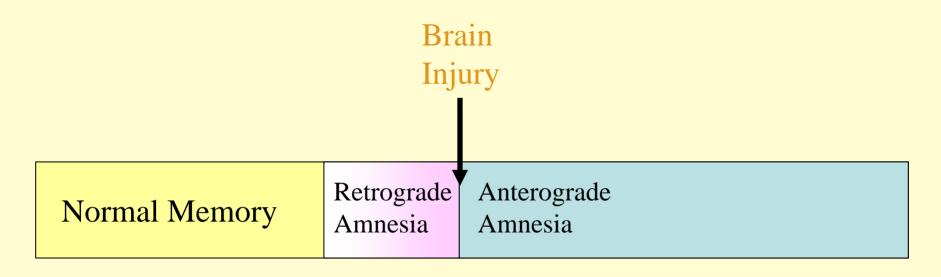
- Regardless of information presentation (visual, auditory, olfactory)





- Anterograde Amnesia the inability to form new memories
- Retrograde Amnesia the inability to recollect old memories



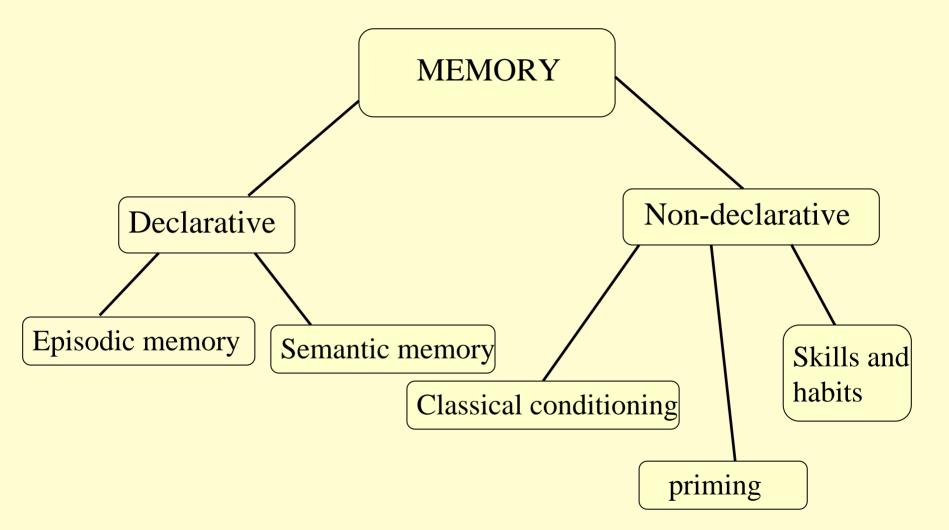


• Medial temporal-lobe damage

#### <u>Amnesia</u>

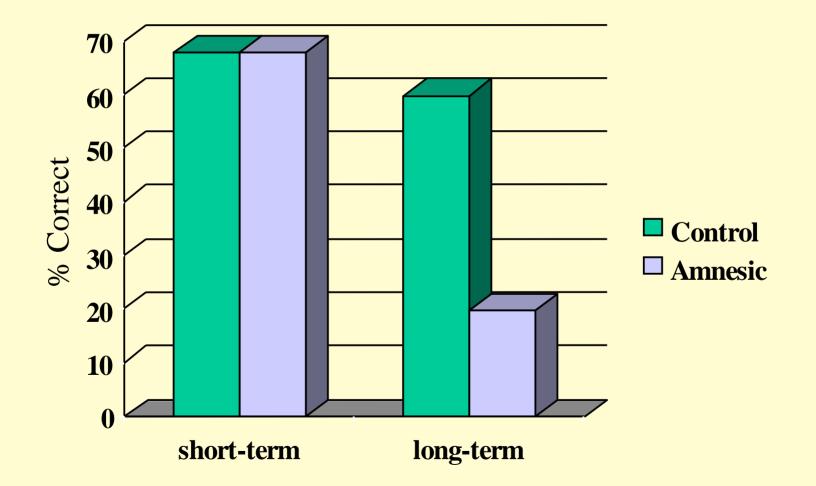
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## Long-term Memory Systems

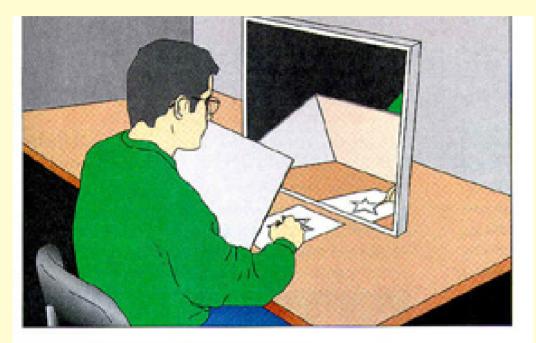


#### Short-term versus Long-term Memory

• spared short-term memory; impaired long-term memory

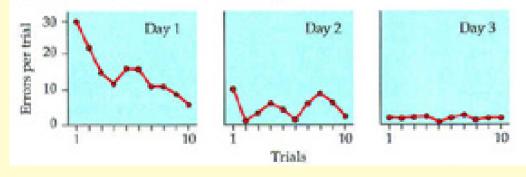


## Skill Learning



H.M.'s mirror tracing performance improves across trials, although he cannot recall previously performing the task

(i) Performance of H.M. on mirror-tracing task

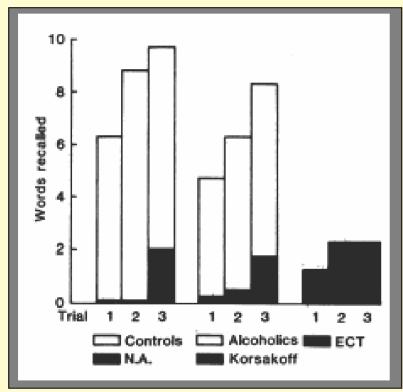


*Milner*, 1962

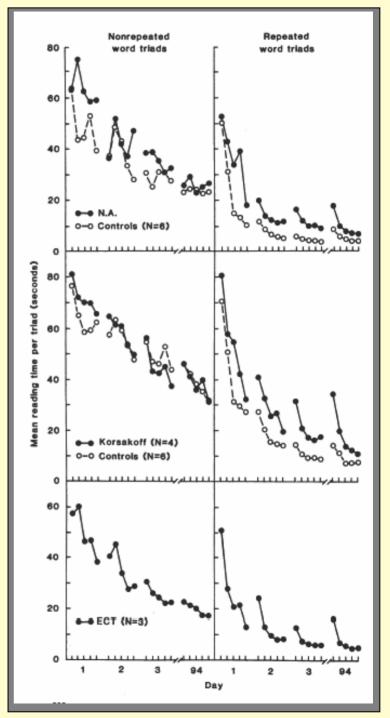
#### Procedural vs Declarative

nebulous sauerkraut vagueness

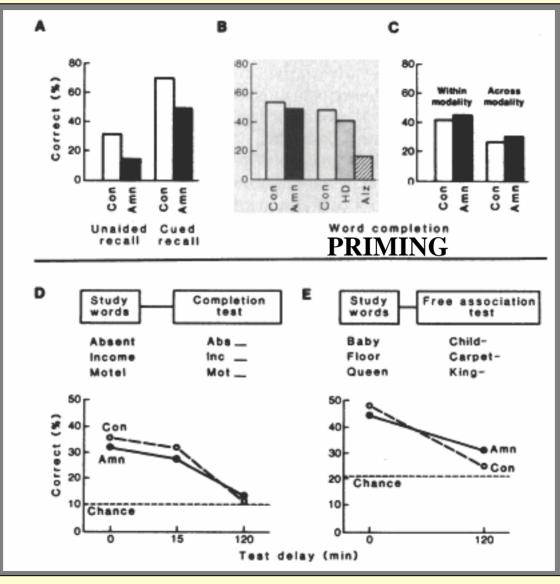
#### Cued recall test



Cohen and Squire 1980

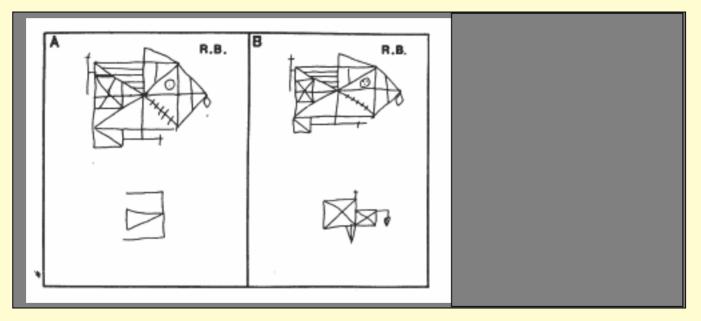


#### Procedural vs Declarative



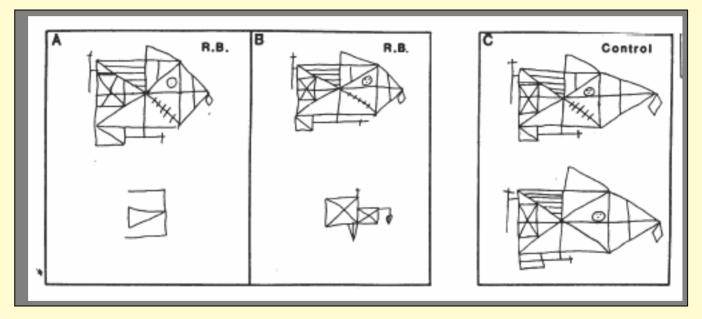
Cohen and Squire 1980

#### Immediate vs. Long term recall



Patient R.B.

#### Immediate vs. Long term recall



Patient R.B.

#### Patient R.B.

#### - selective damage to hippocampus leads to amnesia



#### H.M. and New Semantic Learning

#### H.M. demonstrates poor memory for words and phrases that entered the language after the onset of his amnesia

Word/phrase	Free Recall
angel dust	"dust made by angels, we call it rain"
biodegradable	"two grades"
flower child	"a young person who grows flowers"
Watergate	"a city or town in Pennsylvania or Ohio"
Word/phrase	Four-choice Recognition
brain wash	the fluid that surrounds and bathes the brain
granola	a portable keyboard wind instrument
software	expensive clothing made of a soft, twilled fabric

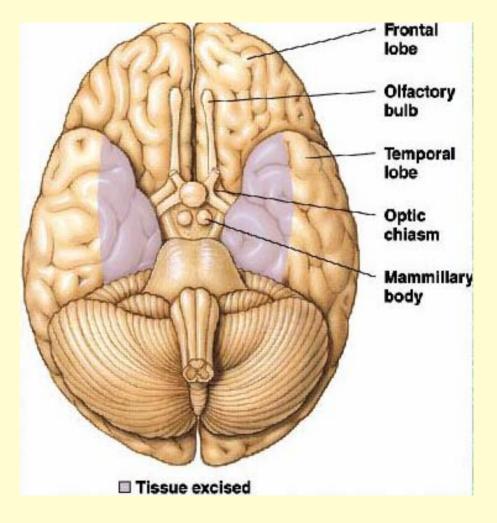
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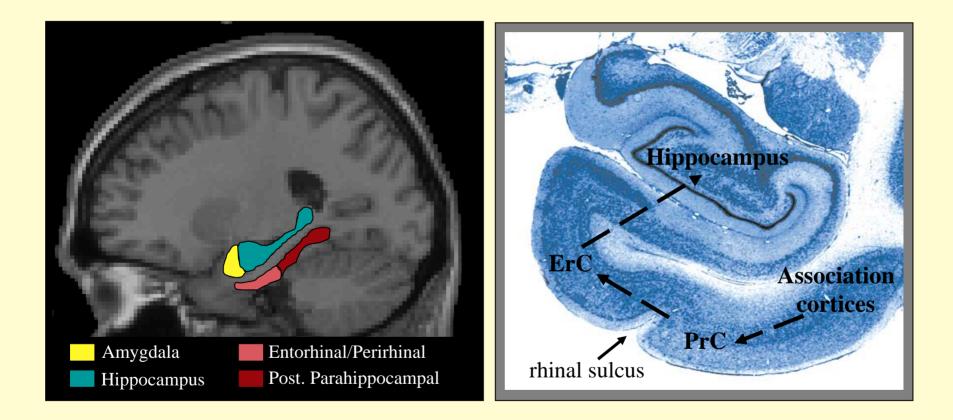
## Location of H.M.'s lesion

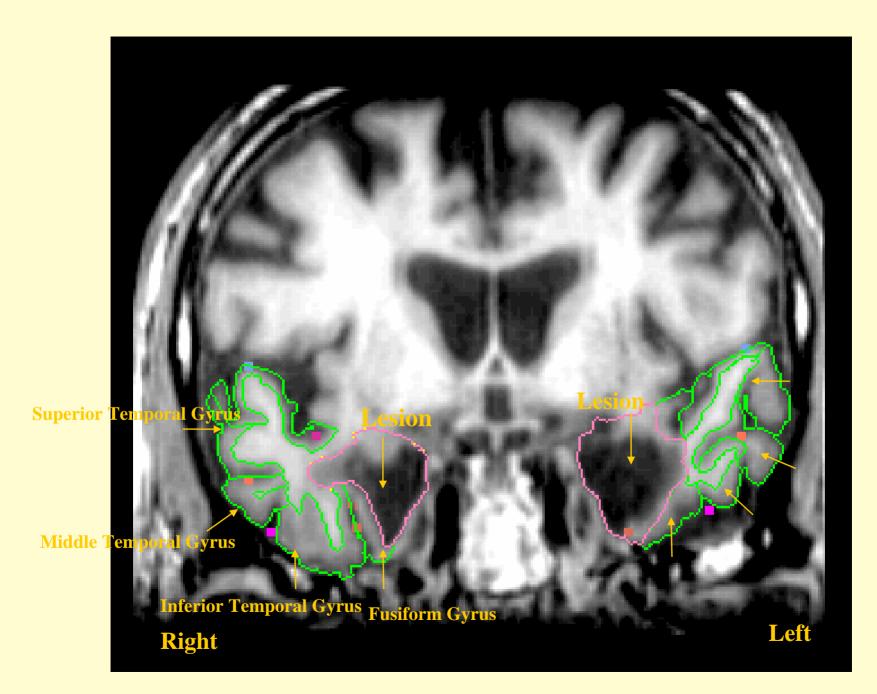
• In an attempt to cure his epilepsy, H.M. had his medial temporal lobes removed bilaterally

• While this surgery did reduce the frequency of his epileptic seizures, it also left him with a profound *global amnesia* 

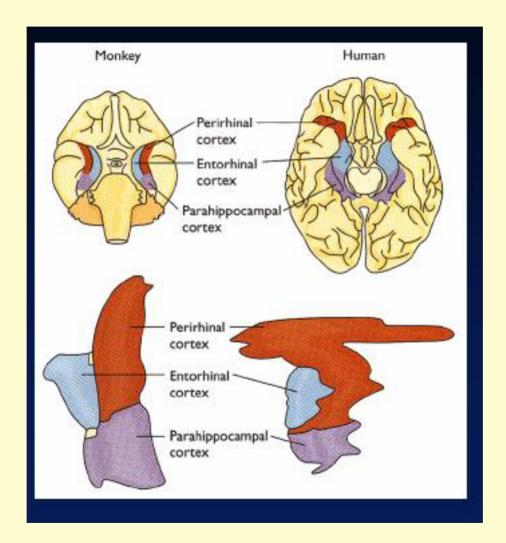


#### Medial Temporal Lobe Substructures

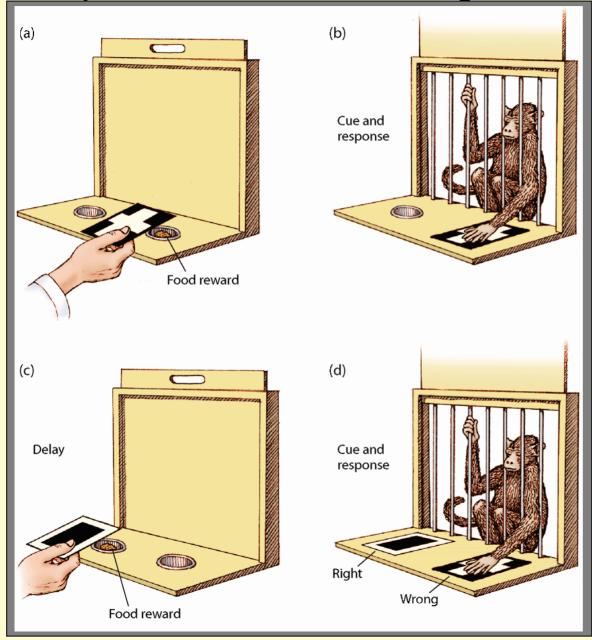




#### Animal Models of Memory

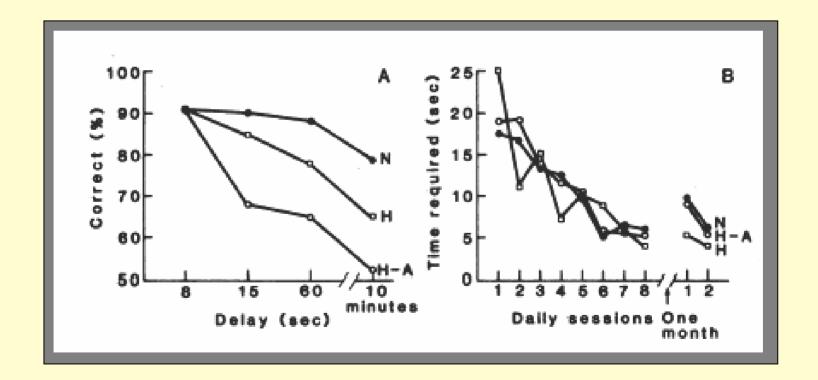


#### Delayed non-match to sample tasks



#### Monkey Models of Amnesia

DNMS impaired but other forms of learning (e.g. visual discrimination, motor skills..) are intact.



(Squire, Science, 1986)

## Medial Temporal Lobe structures and long-term learning: Evidence from humans

• H.M. had the entire MTL resected bilaterally

- Do you have to have this much damage to have resulting amnesia, or are there particular MTL regions responsible for the amnesia?

- What is the hippocampus needed for? What about rhinal cortex?

## Medial Temporal Lobe structures and long-term learning: Evidence from humans

• Two amnesic patients studied by Squire and colleagues had damage to only one region (CA1) of the hippocampus

- suggests that damage limited to the hippocampus can cause a specific memory impairment (Zola-Morgan et al., 1986).

• As add more damage (to other regions of the hippocampus and to the surrounding MTL regions) the amnesic syndrome becomes more severe.

#### However, doesn't mean that all MTL structures mediate the same function!!

# Medial Temporal Lobe structures and long-term learning: Evidence from humans

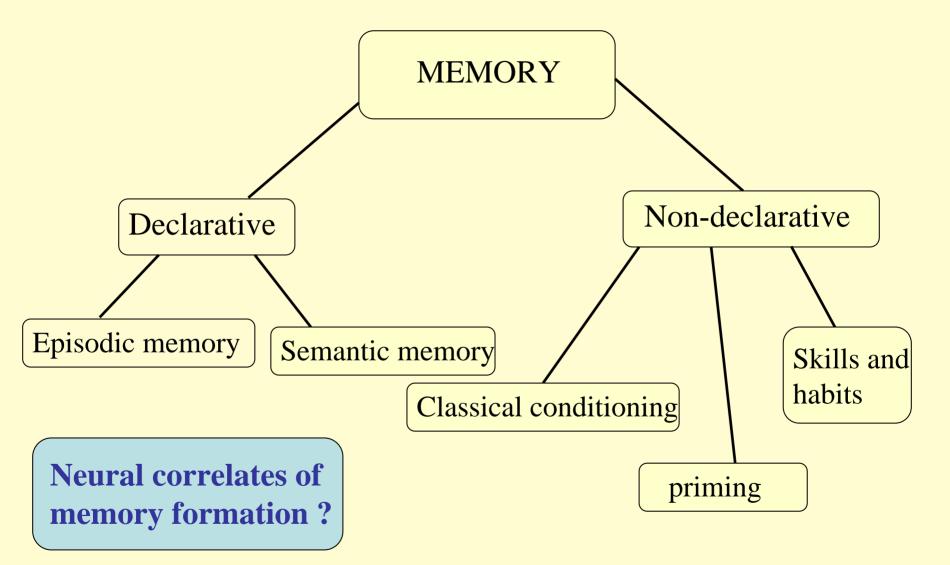
Is the hippocampus required for semantic learning?

- 3 patients with *early*, selective hippocampal insult
- Anterograde amnesia for everyday, episodic events
- Relative sparing of semantic learning abilities
  - competent in speech & language
  - learned to read, write, & spell
  - in normal range on tests of verbal intelligence

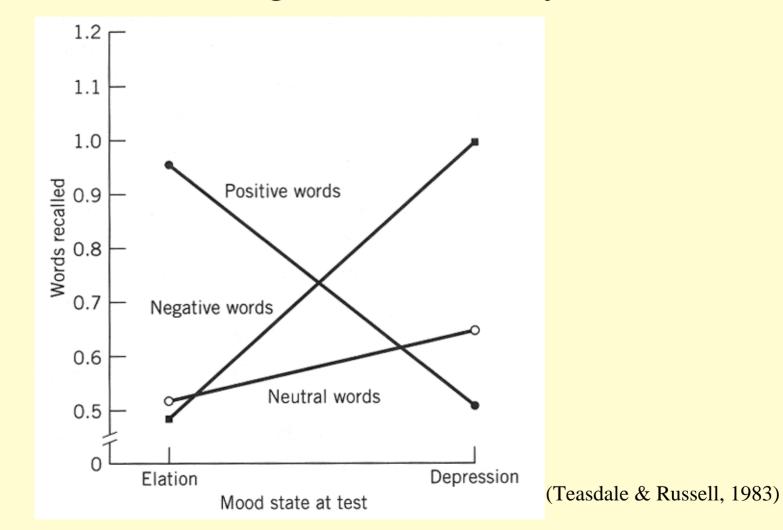
Considered in conjunction with H.M.'s performance, these results suggest that it may be the rhinal cortex and not the hippocampus that is important for semantic learning

(Vargha-Khadem et al., 1997)

## Long-term Memory Systems



#### Mood–Congruent Memory



Cue-dependent nature of memory has important implications for mental health. Biases to retrieve mood-congruent experiences can create "snowball" effects.

#### **Process Dissociation**

Assumptions: different processes can contribute to memory

- Automatic
- Intentional (recollective)

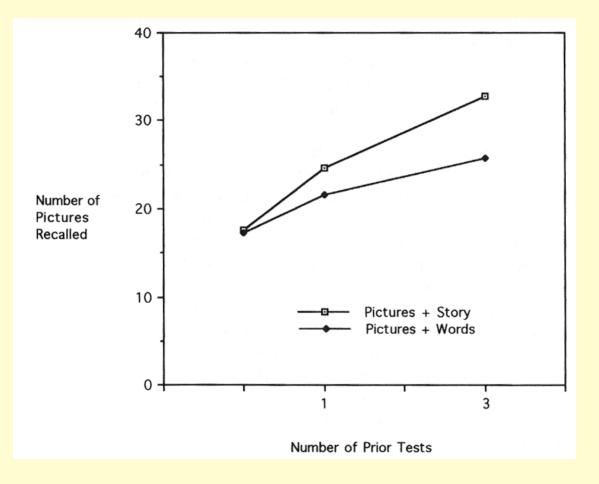
Method: Phase 1 - Hear list of words Phase 2 - FULL - read list of words DIVIDED - read list + distraction task

> TEST - Word stem completion Inclusion - fill in with word from either phase, or any word Exclusion - Do not fill in a word from the study

Analysis:

Inclusion = R + A(1-R)Exclusion = A(1-R)Inclusion = R + ExclusionR = Inclusion - ExclusionA = Exclusion/1-R

#### Retrieval Yields a Robust Encoding Effect



- increasing the # of free recall tests immediately after learning yields superior recall 1 week later
- retrieval is an effective encoding event

# Retrieval is Superior When There is Contextual / Cue–Support

#### STUDY:

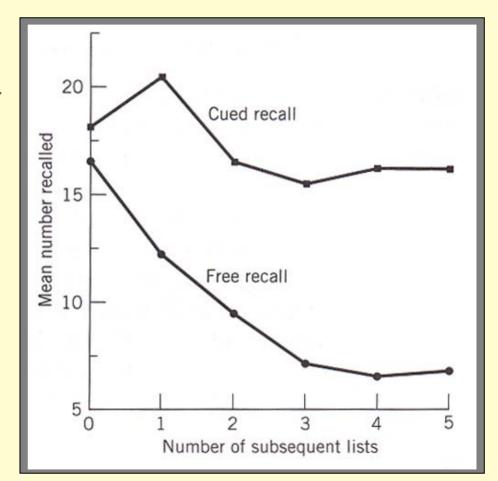
- Lists of words from a category
- Study N lists

#### RECALL:

• Free recall

Context-?

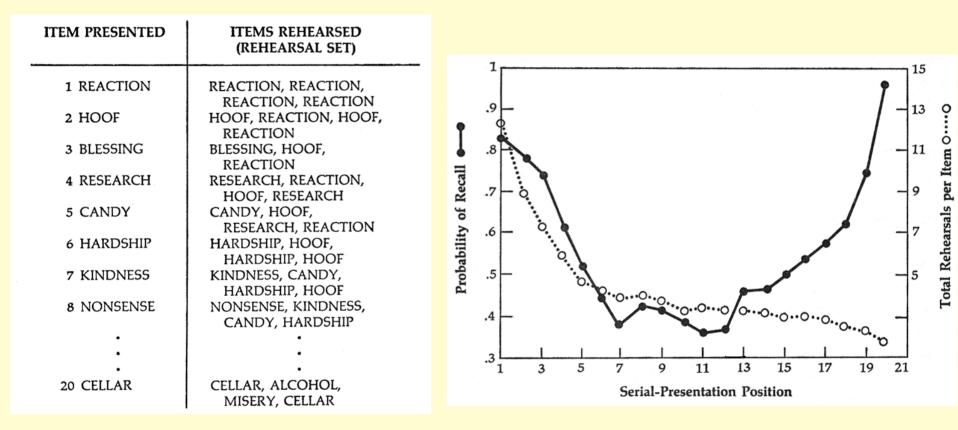
• Category–cued recall Context+Animals–? Context+Fruits?



(Tulving & Psotka, 1971)

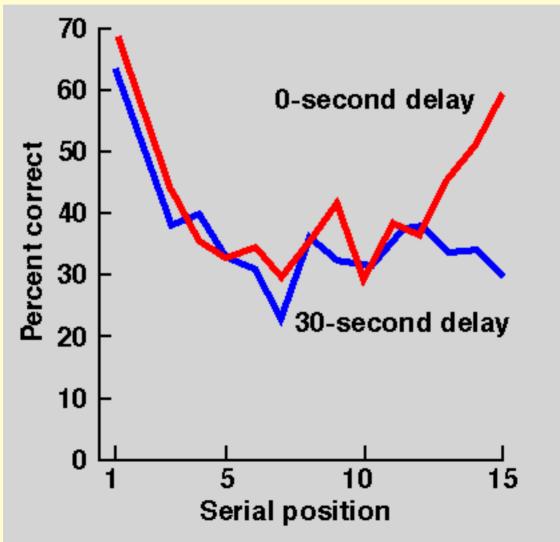
Memory failure can be attributed to loss of access to appropriate retrieval cues

## **Rehearsal and Free Recall**



### Recency Component – STM

Dissociable effects of filled delay



## Evidence for Separate STM / LTM Stores

- H.M.  $\rightarrow$  intact STM span, but impaired LTM
- K.F.  $\rightarrow$  intact LTM, but impaired STM span



left inferior parietal lesion limited span for auditory material span 1: 19/20 trials correct span 2: 7/20 trials correct span 3: 2/20 trials correct

#### STM and LTM depend on different systems or processes

#### STM = Active Portion of LTM

Control mechanisms maintain or keep active different memory representations such that they can be worked with in a goal-directed manner: Working Memory